Form PTO- (Substitute) OFMARK OF PA

S.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Attorney Docket Number RXSD 1001-3

Serial/Potent Number 09/830,488

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

Applicam/Patén Owner
PLUVINAGE et al.

Filing/Issue Date
26 April 2001

Group An Unix 2227

| | | U.S | . PATENTS | | - | |
|---------------------|---------------|------------|----------------------|-------|----------|-------------|
| Examiner Initial | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
| KB | 5,928,160 | 07/27/99 | Clark et al. | 600 | 559 | 10/30/96 |
| | 4,284,847 | 08/18/81 | Besserman | 179 | 1 | 02/08/80 |
| b | 5,197,332 | 03/30/93 | Shennib | 73 | 585 | 02/19/92 |
| | 3,808,354 | 04/30/74 | Feezor et al. | 179 | 1 N | 12/13/72 |

| | FOREIGN PATENT DOCUMENTS | | | | | | |
|---------------------|--------------------------|---------------------|----------|-------|----------|------------------------------|--|
| Examiner Initial | Document Number | Publication Date | Country | Class | Subclass | Trans- lation Yes No | |
| KB | WO 00/64350 | 11/02/00 | WO - PCT | | | х | |
| | DE 196 00 234 A1 | 07/10/97 | Germany | | | Abstract only | |
| | DE 198 15 373 A1 | 10/14/99 | Germany | | | Abstract only | |
| | DE 299 05 172 U1 | 06/10/99 | Germany | | | Abstract only | |
| Ø | WO 01/52737 A1 | 07/26/01 | WO - PCT | 100 | | х | |

| | 01 | THER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) |
|-----|----|---|
| | | RECEIVED |
| . 7 | | SEP 1 3 2001 |

| | | Technology Center 2600 |
|--|-----------------|--|
| Examiner 92 TAt | Date Considered | . / / |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance and not considered. Include copy of this form with next co | | |
| *1 = Copy not submitted because it was submitted in prior application SI *2 = Copy not submitted because it was submitted in prior application SI | | , 20, relied on under 35 USC §120. , 20, relied on under 35 USC §120. |

Form PTO-1449 (Substitute)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number
RXSD 1001-3

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant/Palem Owner
PLUVINAGE et al.

SEP 2 8 2001 5

(Use several sheets if necessary)

Filing/Issue Date
26 April 2001

2227 27

| | U.S. PATENTS | | | | | |
|---------------------|---------------|------------|----------------------|-------|----------|-------------|
| Examiner Initial | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
| KB | 5,868,683 | 02/09/99 | Protopapas et al. | 600 | 559 | 10/24/97 |
| | 6,022,315 | 02/08/00 | Diff | 600 | 300 | 07/11/97 |
| 1 | 6,212,496 B1 | 04/03/01 | Campbell et al. | 704 | 221 | 10/13/98 |
| | | | | | L | <u> </u> |

| | | FOREIGN PATE | NT DOCUMENTS | | | ···· |
|---------------------|-----------------|---------------------|--------------|-------|----------|------------------------------|
| Examiner Initial | Document Number | Publication Date | Country | Class | Subclass | Trans- lation Yes No |
| | | | | (ec) | 2 1 | |
| | | | | 100 | 3 4 | |
| | | | | | Cen | T. |
| | | | | | W. Co. | |
| | | | | | 700 | |

| OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) | | | | | | |
|--|--|--|--|--|--|--|
| | | | | | | |
| | | | | | | |

| Examiner 92 +34 | Date Considered | 4/12/06 |
|--|--|--|
| *EXAMINER: Initial if citation considered, whether or not ci conformance and not considered. Include copy of this form w | itation is in conformance with MPE vith next communication to applican | P 609. Draw line through citation if not in t. |
| *1 = Copy not submitted because it was submitted in prior app *2 = Copy not submitted because it was submitted in prior app | | , 20, relied on under 35 USC §120. |

APR 15 2002

Form PTO-1449
U.S. DEPARTMENT OF COMMERCE (Substitute)
PATENT AND TRADEMARK OFFICE

Attorney Docket Number RXSD 1001-3

Serial/Parent Mobber P College College

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

Applicant/Patent Owner PLUVINAGE et al.

Filing/Issue Date 26 April 2001

| | | U.S | . PATENTS | | | |
|---------------------|---------------|------------|----------------------|-------|----------|-------------|
| Examiner Initial | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
| KB | 5,086,464 | 02/04/92 | Groppe | 379 | 430 | 03/05/90 |
| | 5,907,823 | 05/25/99 | Sjoberg et al. | 704 | 225 | 09/11/96 |
| | 5,406,635 | 04/11/95 | Jarvinen | 381 | 94 | 02/05/93 |
| | 6,078,675 | 06/20/00 | Bowen-Nielsen et al. | 381 | 331 | 04/29/96 |
| | 5,854,978 | 12/29/98 | Heidari | 455 | 418 | 04/16/96 |
| | 5,615,270 | 03/25/97 | Miller et al. | 381 | 57 | 06/06/95 |
| | 5,717,767 | 02/10/98 | Inanaga et al. | 381 | 25 | 11/08/94 |
| | 5,930,758 | 07/27/99 | Nishiguchi et al. | 704 | 500 | 07/02/9 |
| | 5,923,764 | 07/13/99 | Shennib | 381 | 60 | 12/18/9 |
| | 5,910,990 | 06/08/99 | Jang | 381 | 1 | 06/13/9 |
| | 5,896,449 | 04/20/99 | Oshidari et al. | 379 | 347 | 09/25/9 |
| | 5,867,457 | 02/02/99 | Parvulescu et al. | 369 | 33 | 04/14/9 |
| | 5,802,164 | 09/01/98 | Clancy et al. | 379 | 347 | 12/22/9 |
| | 5,521,919 | 05/28/96 | Anderson et al. | 370 | 68 | 11/04/9 |
| | 5,737,719 | 04/07/98 | Тепту | 704 | 224 | 12/19/9 |
| | 5,195,132 | 03/16/93 | Bowker et al. | 379 | 410 | 12/03/9 |
| | 5,146,501 | 09/08/92 | Spector | 381 | 25 | 03/11/9 |
| | 3,962,543 | 06/08/76 | Blauert et al. | 179 | 1 | 05/15/7 |
| | 5,333,195 | 07/26/94 | Bowker et al. | 379 | 410 | 02/04/9 |
| | 5,485,515 | 01/16/96 | Allen et al. | 379 | 391 | 12/29/9 |
| | 5,524,148 | 06/04/96 | Allen et al. | 379 | 391 | 05/18/9: |
| | 5,592,545 | 01/07/97 | Ho et al. | 379 | 347 | 06/07/9 |
| | 5,943,413 | 08/24/99 | Ash et al. | 379 | 220 | 06/09/9 |
| ७ | 4,879,749 | 11/07/89 | Levitt et al. | 381 | 68.4 | 02/12/8 |

APR 1 8 2002

| _ | APR 15 DINE | U.S | U.S. PATENTS | | | Technology Center 210 | | |
|---------------------|---------------|------------|----------------------|-------|----------|-----------------------|--|--|
| Examiner Initial | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date | | |
| KB | 5,848,171 | 12/08/98 | Stockham, Jr. et al. | 381 | 321 | 01/16/96 | | |
| | 5,811,681 | 09/22/98 | Braun et al. | 73 | 585 | 04/29/96 | | |
| | 5,539,806 | 07/23/96 | Allen et al. | 379 | 52 | 09/23/94 | | |
| | 5,737,389 | 04/07/98 | Allen | 379 | 1 | 12/18/95 | | |
| | 6,055,502 | 04/25/00 | Kitamura | 704 | 500 | 09/27/97 | | |
| 4 | | | | | | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | |
|---------------------|--------------------------|---------------------|-----------|-------|----------|----------------------------|
| Examiner Initial | Document Number | Publication Date | Country | Class | Subclass | Trans- lation Yes No |
| ХB | WO 98/51124 | 11/12/98 | WO - PCT | | | YES |
| | WO 95/06996 | 03/09/95 | WO - PCT | | | YES |
| | AU-B-52098/96 | 01/16/97 | Australia | | | YES |
| d | 2000236280A | 08/29/00 | Japan | | | Abstract only |

| | OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) |
|----|--|
| KB | Wireless Short Message Service (SMS) TeleCommunication Systems Web ProForum Tutorials pp. 1-18 |
| 6 | Sony Online World - Memory Stick, The Concept, http://www.world.sony.com/Electronics/MS/concept/exp2.html 10/11/99, pp. 1-3 |

| Examiner 92 + BH | Date Considered | 4/12/06 | | | |
|--|---------------------------|--|--|--|--|
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | |
| *1 = Copy not submitted because it was submitted in prior application S *2 = Copy not submitted because it was submitted in prior application S | N _/, filed N /, filed | , 20, relied on under 35 USC §120. , 20, relied on under 35 USC §120. | | | |

JAN 25 2002 E

Form PTO-1449 (Substitute)

DEPARTMENT OF COMMERCE

Attorney Docket Number
RXSD 1001-3

Applicant/Patent Owner

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

PLUVINAGE et al.

Filing/Issue Date
26 April 2001

PLUVINAGE et al.

Group Art Unit
2227

JAN 2 8 2002

| | | U.S. | . PATENTS | | recnnolog | y Center 26 |
|---------------------|---------------|------------|----------------------|-------|-----------|-------------|
| Examiner Initial | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
| KB | 3,571,529 | 03/16/71 | Gharib et al. | 179 | 107 | 09/09/68 |
| 7 | 3,764,745 | 10/09/73 | Bottcher et al. | 179 | 1 | 03/05/71 |
| | 3,894,195 | 07/08/75 | Kryter | 179 | 107 | 06/12/74 |
| | 3,989,904 | 11/02/76 | Rohrer | 179 | 107 | 12/30/74 |
| 1 | 4,051,331 | 09/27/77 | Strong et al. | 179 | 107 | 03/29/76 |
| 1 | 4,425,481 | 01/10/84 | Mansgold et al. | 179 | 107 | 04/14/82 |
| | 4,289,935 | 09/15/81 | Zollner et al. | 179 | 107 | 02/27/80 |
| | 4,548,082 | 10/22/85 | Engebretson et al. | 73 | 585 | 08/28/84 |
| | 4,622,440 | 11/11/86 | Slavin | 381 | 68.1 | 04/11/84 |
| | 4,791,672 | 12/13/88 | Nunley et al. | 381 | 68 | 10/05/84 |
| | 4,868,880 | 09/19/89 | Bennett et al. | 381 | 68.2 | 06/01/88 |
| | 4,887,299 | 12/12/89 | Cummins et al. | 381 | 68.4 | 11/12/87 |
| | 4,926,139 | 05/15/90 | Anderson et al. | 330 | 294 | 06/06/88 |
| | 5,027,410 | 06/25/91 | Williamson et al. | 381 | 68.4 | 11/10/88 |
| | 5,046,102 | 09/03/91 | Zwicker et al. | 381 | 68.2 | 10/14/86 |
| | 5,355,418 | 10/11/94 | Kelsey et al. | 381 | 72 | 02/22/94 |
| | 5,406,633 | 04/11/95 | Miller et al. | 381 | 68.4 | 11/03/92 |
| | 5,500,902 | 03/19/96 | Stockham et al. | 381 | 68.4 | 07/08/94 |
| | 5,608,803 | 03/04/97 | Magotra et al. | 381 | 68.2 | 05/17/95 |
| | 5,663,727 | 09/02/97 | Vokac | 341 | 132 | 06/23/95 |
| | 5,706,352 | 06/06/98 | Engebretson et al. | 381 | 684 | 04/07/93 |
| | 5,721,783 | 02/24/98 | Anderson | 381 | 68.6 | 06/07/95 |
| | 5,892,836 | 04/06/99 | Ishige et al. | 381 | 316 | 10/28/96 |
| U | 5,903,655 | 05/11/99 | Salmi et al. | 381 | 321 | 10/23/96 |

RECEIVED

JAN 2 8 2002

| U.S. PATENTS Technology Center 2600 | | | | | | |
|-------------------------------------|---------------|------------|----------------------|-------|----------|-------------|
| Examiner | | | | | | |
| Initial | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
| KB. | 6,072,885 | 06/06/00 | Stockham et al. | 381 | 321 | 08/22/96 |
| | 6,104,822 | 08/15/00 | Melanson et al. | 381 | 320 | 08/06/97 |
| | 5,794,201 | 08/11/98 | Nejime et al. | 704 | 267 | 06/05/95 |
| | 6,094,481 | 07/25/00 | Deville et al. | 379 | 390 | 10/08/97 |
| | 3,718,763 | 02/27/73 | Cannon et al. | 179 | 1 | 12/21/70 |
| | 4,039,750 | 08/02/77 | Hull | 179 | 1 | 07/12/74 |
| | 4,201,225 | 05/06/80 | Bethea et al. | 128_ | 746 | 09/02/77 |
| | 4,677,679 | 06/30/87 | Killion | 381 | 74 | 07/05/84 |
| | 5,596,507 | 01/21/97 | Jones et al. | 364 | 505 | 08/15/94 |
| | 5,890,124 | 03/30/99 | Galbi | 704 | 501 | 10/26/95 |
| | 5,956,674 | 09/21/99 | Smyth et al. | 704 | 229 | 05/02/96 |
| | 5,974,380 | 10/26/99 | Smyth et al. | 704 | 229 | 12/16/97 |
| | 5,978,762 | 11/02/99 | Smyth et al. | 704 | 229 | 05/28/98 |
| | 5,987,418 | 11/16/99 | Gentit | 704 | 500 | 12/04/98 |
| | 6,029,126 | 02/22/00 | Malvar | 704 | 204 | 06/30/98 |
| | 6,098,039 | 08/01/00 | Nishida | 704 | 229 | 06/15/98 |
| | 6,061,431 | 05/09/00 | Knappe et al. | 379 | 52 | 10/09/98 |
| | 6,322,521 B1 | 11/27/01 | Hou | 600 | 559 | 03/31/00 |
| | 5,388,185 | 02/07/95 | Terry et al. | 395 | 2.14 | 09/30/91 |
| | 4,731,850 | 03/15/88 | Levitt et al. | 381 | 68.2 | 06/26/86 |
| δ | 5,737,389 | 04/07/98 | Allen | 379 | 1 | 12/18/95 |

JAN 2 5 2002

| | | FOREIGN PA | TENT DOCUMENTS | | | |
|---------------------|-----------------|---------------------|----------------|-------|----------|-------------------------------------|
| Examiner Initial | Document Number | Publication Date | Country | Class | Subclass | Trans- <u>lation</u> Yes No |
| KB | WO 98/47314 | 10/22/98 | WO - PCT | | | YES |
| | WO 99/14986 | 03/25/99 | WO - PCT | | | YES |
| | EP 0 329 383 A2 | 08/23/89 | EP | | | YES |
| 7 | WO 98/05150 | 02/05/98 | WO - PCT | | | YES |

| . • | OIF c vo | | • | , | CEIVEI N 2 8 200 | | |
|---------------------|----------------|---------------------|----------------|-------|---------------------|------------------------------|--|
| | JAN 2 5 2002 E | 1 | TENT DOCUMENTS | | logy Center | | |
| Examiner Initial | Dockman Number | Publication Date | Country | Class | Subclass | Trans- lation Yes No | |
| K _B | WO 99/31937 | 06/24/99 | WO - PCT | | | YES | |
| | WO 98/51126 | 11/12/98 | WO - PCT | | | YES | |

| | OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) |
|----|---|
| KB | Unser "B-Spline Signal Processing: Part II - Efficient Design and Applications", IEEE Transactions on Signal Processing, pp. 834-848, Vol. 41, No. 2 |
| | U.S. Provisional Application No. 60/177,695, Filed 01/24/00 entitled Remote Hearing Test, inventor Zezhang Hou |
| | U.S. Provisional Application No. 60/189,010, Filed 03/13/00 entitled Method and System for On-Line Hearing Examination and Correction, inventor Zezhang Hou |
| | Braida et al., "Review of Recent Research on Multiband Amplitude Compression for the Hearing Impaired" Research Laboratory of Electronics, Massachusetts Institute of Technology Cambridge, Massachusetts pp. 133-140 |
| | Lippmann et al. "Study of Multichannel Amplitude Compression and Linear Amplification for Persons with Sensorineural Hearing Loss" Acoustical Society of America February 69(2) 1981, pp.524-534 |
| | Villchur "Signal Processing to Improve Speech Intelligibility in Perceptive Deafness" The Journal of the Acoustical Society of America, Volume 53, Number 6 1973, pp. 1646-1657 |
| V | U.S. Patent Application No. 09/728,623, Filed 12/01/00 entitled Adaptation of Audio Data Files Based On Personal Hearing Profiles, inventor Ali Mouline |

| Examiner 92 + BA | Date Considered 4/12/06 |
|---|--|
| *EXAMINER: Initial if citation considered, whether or not citation is conformance and not considered. Include copy of this form with next of | in conformance with MPEP 609. Draw line through citation if not in communication to applicant. |
| *1 = Copy not submitted because it was submitted in prior application : *2 = Copy not submitted because it was submitted in prior application : | |